

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2022-017-00
<b>Temporary Application Number</b>	6803-2020-1
<b>Application Name</b>	FY 2020 - FY 2021 Section 5311 TTP Formula Funding - Rolling Stock, Operating Assistance and Indirect Administrative Expenses
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Kenaitze Indian Tribe**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6803	Indian Tribe	KENAITZE INDIAN TRIBE		149211364

Location Type	Address	City	State	Zip
Headquarters	150 N WILLOW ST STE 33	KENAI	AK	99611
Physical Address	150 N WILLOW ST	KENAI	AK	99611
Mailing Address	PO BOX 988	KENAI	AK	99611

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY 2020 - FY 2021 Section 5311 TTP Formula Funding - Rolling Stock, Operating Assistance and Indirect Administrative Expenses**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AK-2022-017-00	Final Concurrence / Reservation	Grant	12/19/2019	12/19/2019	No

**Application Executive Summary**

This application applies \$158,683 in FY 2020 and \$171,478 in FY 2021 Section 5311 TTP formula funding for a total amount of \$330,161 for acquisition of rolling stock, indirect administrative expenses, and operating assistance to hire transit staff to expand public transit program throughout central Kenai Peninsula.

**Purpose:**

This grant will provide vehicles, indirect administrative and operating assistance to expand public transit program throughout central Kenai Peninsula.

**Activities to be performed:**

Vehicle acquisition, hiring of transit staff and administrative activities to expand public transit program throughout central Kenai Peninsula.

**Expected Outcomes:**

This project will provide increased passenger capacity to local Kenai peninsula service area ensuring continuation of service to Un'ina.

**Intended Beneficiaries:**

Public transportation riders located along the Kenai peninsula.

**Subrecipient Activities:**

There are no subrecipients to this award.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Kenaitze Indian Tribe indirect cost rate on 03/07/2022 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 17.94% or the most currently approved annual rate will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Aurora	Rogers	Grants Assistant	ahawkins@kenaitze.org	907-335-7207
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$330,161
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$330,161</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2022-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$330,161.00	\$0.00	\$330,161.00	4
AK-2022-017-01-00	11.13.15 Purchase of Shuttle Vans for services expansion (FY 20-21 5311 TTP formula 100:0)(00)	\$325,724.00	\$0.00	\$325,724.00	4
AK-2022-017-01-00	11.79.00 Program Administration - Indirect (FY 21 5311 TTP	\$1,000.00	\$0.00	\$1,000.00	0

AK-2022-017-01-00	30.09.01	formula 100:0)(00) Operating Assistance (FY 21 5311 TTP formula 100:0)(00)	\$3,437.00	\$0.00	\$3,437.00	0
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY 2020 - FY 2021 Section 5311 TTP Formula Funding for Rolling Stock, Operating Assistance and Indirect Program Administration Expenses

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2022-017-01-00	6803-2020-1-P1	12/19/2019	1/1/2021	9/29/2023

#### Project Description

This project applies FY 2020 Section 5311 TTP formula funding in the amount of \$158,683 and FY 2021 Section 5311 TTP formula funding in the amount of \$171,478 for purchase of vehicles, operating expenses, and indirect program administration to expand public transit program throughout central Kenai Peninsula.

#### Project Benefits

This project will provide increased passenger capacity to local Kenai peninsula service area ensuring continuation of service to Un'ina.

#### Additional Information

*None provided.*

#### Location Description

Kenaitze Indian Tribe's service area covers the central Kenai Peninsula communities of Kenai, Soldotna, Sterling, Nikiski, Salamatof, Cohoe, Cooper Landing and the surrounding area.

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

**STIP/TIP**

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**UPWP**

Date: Not Provided

Description: n/a

**Long Range Plan**

Date: Not Provided

Description: n/a

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$330,161
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$330,161</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2022-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$330,161.00	\$0.00	\$330,161.00	4
AK-2022-017-01-00	11.13.15 Purchase of Shuttle Vans for services expansion (FY 20-21 5311 TTP formula 100:0)(00)	\$325,724.00	\$0.00	\$325,724.00	4
AK-2022-017-01-00	11.79.00 Program Administration - Indirect (FY	\$1,000.00	\$0.00	\$1,000.00	0

		21 5311 TTP formula 100:0)(00)				
AK-2022-017-01- 00	30.09.01	Operating Assistance (FY 21 5311 TTP formula 100:0)(00)	\$3,437.00	\$0.00	\$3,437.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - Operating Assistance (FY 21 5311 TTP formula 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

This activity line item includes \$3,437 in FY 2020 Section 5311 TTP formula funding for operating assistance activities. The federal-to-local match ratio is 100:0.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$3,437
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,437</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	1/1/2021	
End Operating Assistance	9/29/2023	

**Budget Activity Line Item: 11.79.00 - Program Administration - Indirect (FY 21 5311 TTP formula 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity line item includes \$1,000 in FY 2021 Section 5311 TTP formula funding for indirect program administration expenses. The federal-to-local match ratio is 100:0.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Administration Expenses	1/1/2021	
End Indirect Administration Expenses	9/29/2023	

**Budget Activity Line Item: 11.13.15 - Purchase of Shuttle Vans for services expansion (FY 20-21 5311 TTP formula 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	4

**Extended Budget Description**

This activity line item uses \$158,683 in FY 2020 and \$167,041 in FY 2021 Section 5311 TTP formula funding for the acquisition of approximately four (4) shuttle vans. The federal-to-local match ratio is 100:0 and vehicle useful life is at least four years or an accumulation of at least 100,000 miles.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation

Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$325,724
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$325,724</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/30/2022	
Contract Award Date	6/30/2022	
Initial Delivery Date	2/28/2023	
Final Delivery Date	4/30/2023	
Contract Completion Date	5/31/2023	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	



Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.15	Purchase of Shuttle Vans for services expansion (FY 20-21 5311 TTP formula 100:0)(00)	4	\$325,724.00	\$325,724.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	Program Administration - Indirect (FY 21 5311 TTP formula 100:0)(00)	0	\$1,000.00	\$1,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA	Total
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	Number			Amount	Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating Assistance (FY 21 5311 TTP formula 100:0)(00)	0	\$3,437.00	\$3,437.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Annette McKelvey

Comment Type	DOL Review for Information
Date	7/22/2022
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

### Application Review Comments

**Comment By** Annette McKelvey

Comment Type	Pre-Award Manager Returns Application
Date	9/23/2021
Comment	Returning for edits Kenaitze_Indian_Tribe